

ECO Flexible Eligibility: Liverpool City Region Joint Statement of Intent

This joint Statement of Intent covers the following Liverpool City Region Authorities whose full addresses can be found in Annex A:

Halton Borough Council
Knowsley Metropolitan Borough Council
Liverpool City Council E08000012
Sefton Metropolitan Borough Council
St Helens Metropolitan Borough Council
Wirral Metropolitan Borough Council

Sefton Version LCR SOI 1.0.2018

THIS IS THE SEFTON VERSION AND WHILST INFORMATION ABOUT OTHER LIVERPOOL CITY REGION LOCAL AUTHORITIES IS PROVIDED IT'S A GENERAL GUIDE. REFERENCE SHOULD BE SORT FROM EACH AUTHORITY'S PUBLICATION ON THEIR WEBISTES, IN CASE ALTERATIONS OR UPDATES ARE, ALSO NOTE EACH WILL APPLY A SEPARATE NUMBERING PROCESS AND VERSION UPDATE.

1) Date of publication: 08/02/2018]

2) LOCATION FOR THIS AND PREVIOUS VERSIONS:

<https://www.sefton.gov.uk/environmental-protection/energy-and-environment/energy-efficiency-at-home.aspx>

1. Liverpool City Region Joint Statement of Intent

The Liverpool City Region (LCR) Local Authorities named above welcome the introduction of ECO Flexible Eligibility. With this joint Statement of Intent the Liverpool City Region Local Authorities (LA) named above wish to express their commitment to improving the energy efficiency of their residential properties within the Liverpool City Region, and thereby reduce fuel poverty. Energy efficiency improvements will be delivered in the most cost effective way possible, and maximise efficiency savings through a combined approach to maximise these savings utilising the procured Liverpool City Region approved process. Details of the approved procurement will be maintained on the same webpage where this statement resides. Although this is a joint Statement of Intent No LA listed above, may make a declaration on behalf of another.

It is also a Liverpool City Region ambition to reduce fuel poverty across all six of the local authorities and as such a combined approach whereby the same offer is available to all across the region is desirable. The advantages of the combined approach are that it offers economy of scale for funders, maintains a consistent message with the public and; uses the collaborative strengths and experiences of all the partners.

It is our intention that funding under the Help to Heat section of ECO2t within the Liverpool City Region Local Authorities will be available across the private housing sector, as our respective households across the region who are the most vulnerable can be found within any private tenure. By taking this approach, area based and street by street approaches will be more tenable, and likely to come to fruition as the funding offer will be the same cross tenure. However, the scheme will have to accept that the administrative authority of legislation and the regulator, currently OFGEM, may limit our desires particularly with regard to specific sectors such as registered social housing, static caravans, house boats and park homes.

An individual household which falls under a Local Authority Declaration (LAD) is not guaranteed to receive installed measures as a final decision will depend on:

- I. the resident meeting the criteria stipulated in this statement;
- II. the level of funding that can be offered dependant on the lifetime savings that can be achieved, the cost of the measure which relate to their property, the current heating systems and its state of repair;
- III. energy suppliers' obligation levels;
- IV. the technical capacity of the property and presence or absence of barriers which may impede physical delivery;
- V. the ability of the Liverpool City Region to secure a delivery partner/s.

2. How the LA intends to identify eligible households

We do not intend to target those on benefits for flexible eligibility as these households are captured under the Help to Heat portion of ECO2t.

In addition to those that would be Solid Wall (SWI) 'infill' as identified under the Government guidance and those identified to us from our existing energy delivery partner/s or local registered housing providers. The LCR Local Authorities intend to identify households, that may benefit from the installation of measures under "flexible eligibility", by identifying households that are living in fuel poverty (FP) or living on a low income and vulnerable to the effects of living in a cold home (LIVC).

2.1. Criteria for identifying fuel poverty

To reduce the administrative burden on LA officers and to enable every contact to count, as per the NICE guidelines NG6 '[Excess winter deaths and](#)

illness and the health risks associated with cold homes'. We intend to identify those who are in fuel poverty to be:

Living in an EPC Band C or less efficient property, in accordance with the Government's Fuel Poverty Strategy, and be required to spend 10%¹ of their disposable income (after rent or mortgage payment is taken in to account and excluding income payments for disability related needs) on fuel to maintain an adequate level of heating. SAP estimates of the cost to heat the property per annum². If no EPC Band is available then a similar adjacent property's SAP³ EPC Band may be used or the LA reserves the right to use appropriate and approved proxy software packages that can offer a suitable indicative SAP level.

2.2. *Criteria for identifying low income and vulnerability to cold*

To reduce the administrative burden on LA officers and to enable every contact to count, as per the NICE guidelines NG6. We intend to identify those who are deemed as LIVC to be:

Low Income:

- On a combined household income of less than £31,920⁴ based on mean equivalised household disposable income in 2016. For administrative purposes we know that disposable income is 85% of gross income therefore we will use a proxy gross income threshold of £37,553 and;

Vulnerable to Cold:

Having one or more of the following vulnerabilities:

- a. Those identified in Nice Guidelines NG6 as "Groups at greater risk of harm from cold weather";
 - people with cardiovascular conditions;
 - people with respiratory conditions;
 - people with disabilities;
 - people with mental health conditions or learning difficulties that reduces individual's ability to self-care (including dementia);
 - older people (65 and older);
 - households with young children (from new-born to school age);
 - pregnant women;

¹ In line with other UK government administered schemes.

² An adequate level of heating is described as 21°C for 16 hours a day in a living room and no less than 18°C for all other rooms (World Health Organisation).

³ SAP Standard Assessment Process in this instance SAP refers to the standard calculation of a buildings energy performance, as reported in the Energy Performance Certificate (EPC).

⁴

- b. People with pre-existing chronic medical conditions such as, but not limited to: diabetes, stroke, suppressed immune system, terminally ill;
- c. People who are housebound or otherwise low mobility;
- d. Families with school age children;
- e. People assessed as being at risk of, or having had, recurrent falls.

Where oversubscribed we reserve the right to prioritise those we determine to be at greatest risk as; households that are in Council Tax Band A-D, containing one or more of the following:

- Vulnerable to cold in **b** and **c** in section 2.2 above;
- Children under 5;
- Older people 85 and over;
- Pregnant women; or
- Terminally ill.

2.3. Identification of area based schemes and scheme requirements for SWI “in-fill” projects

The Liverpool City Region Local Authorities intend to support area based schemes through Local Authority Declarations (LADs) in order for “whole place” schemes to be realised. We believe that this is an effective solution to inclusion, regeneration of whole areas, improved community cohesion and subsequent benefits from an improvement in cohesion.

Area based schemes will be determined according to each local authority and or Registered Provider of social housing depending on the housing stock management within each authority and the needs of the residents. The following is a list of the targeting components which may be used to identify area based scheme locations that will be subject to Local Area Declarations:

- Lower Super Output Area (LSOA);
- RdSAP \leq D;
- Tower blocks, whole estates, streets by street projects;
- Indices of Multiple Deprivation (IMD) statistics;
- Areas of regeneration; and
- Any regeneration approved grant initiative such as those from the Homes & Communities Agency or the European Regional Development Fund.

We would look to offer Local Authority Declarations to the following prescribed areas as these have already been identified as areas of regeneration and the targeting components used to determine these LADs is given with each scheme listed below:

- Any Scheme mentioned in the ESIF bid led by the Virirdis Partnership and administered by Sefton Council.

Although this is a combined Statement of Intent the logical administrative actions of evaluating and signing of the local authority declarations would be undertaken by each local authority.

2.4. Issuing of LAD for schemes that prescribe Statement of intent declarations as eligibility criteria for none ECO flexibility schemes

Sefton will permit the Responsible Officer to issue LAD to other grant, or energy efficiency schemes, where Sefton Residents, eligible under this statement of intent, can improve their heating, insulation, electrical efficiency, reduce CO2 emissions or save on utility bills. These LAD will be separately identified in the Council UPRN system for declarations.

3. Governance

Although this is a combined Statement of Intent the logical administrative actions of evaluating and signing of the Local Authority (LA) Declarations would be undertaken by each local authority. No LA named in this Sol is permitted to act on behalf of another.

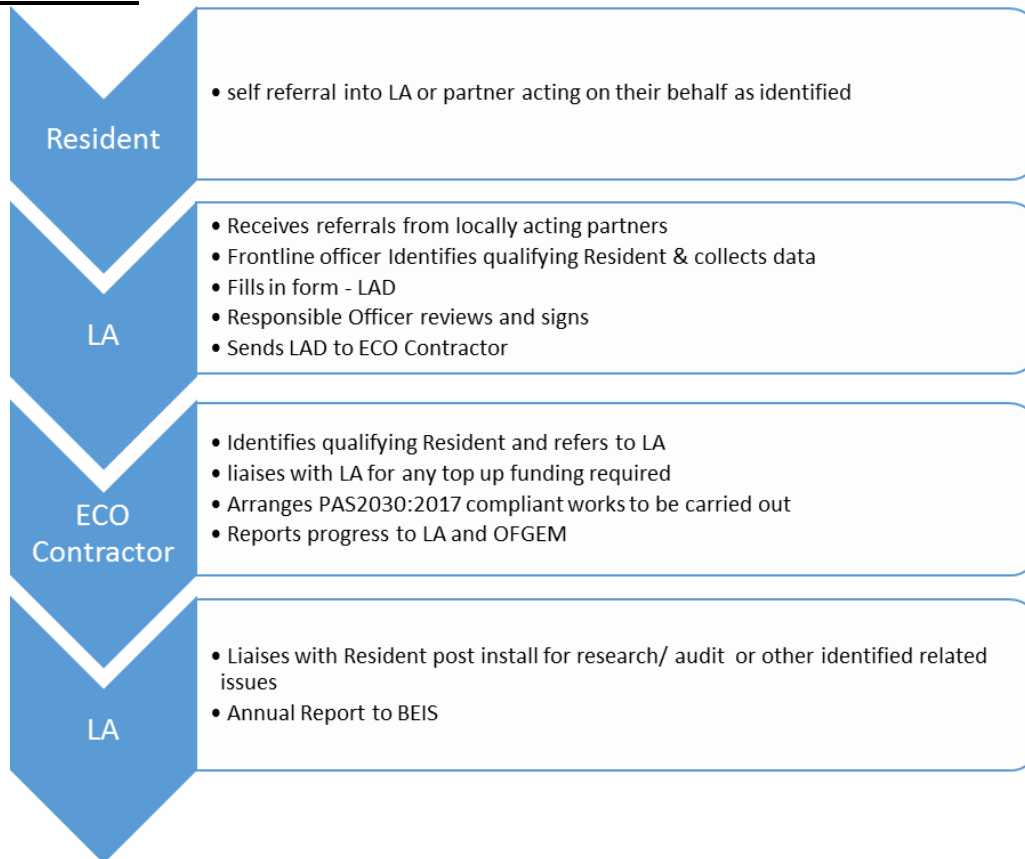
The posts of the Responsible Officer (RO) for signing “Local Authority Declarations” on behalf of the local authorities can be found below and each LA address can be found in Annex A:

- I. **Liverpool City Council:**
Principle housing officers, Head of Housing Strategy, Head of the Healthy Homes Programme Home Improvement Agency
- II. **Sefton Council Metropolitan Borough Council:**
Appointed HECA contacts as declared on Sefton’s Reporting webpage for this Statement of Intent.
- III. **Wirral Metropolitan Borough Council:**
TBC
- IV. **St Helens Metropolitan Borough Council:** St.Helens Council’s Affordable Warmth Unit Representative
- V. **Knowsley Metropolitan Borough Council:** Energy Efficiency Officer, Strategic Housing Partnership Manager and Group Manager Strategic Housing
- VI. **Halton Borough Council:** Public Health Division 1st Floor Runcorn Town Hall.

3.1. Governance structures

The structure given below, is an outline of the process across the Local Authorities. Specific referral routes are given in section 4 below by each Local Authority.

Individuals



Area based

Local Authority housing officers, in conjunction with Registered Providers (RP) where applicable, will determine area based schemes which are in need of retrofit and Energy Efficiency (EE) improvements.

A schematic and address list will be provided along with which properties can be included as infill and which will require a LAD in order to present an offer of a whole place scheme.

The RO will sign the LAD and provide a copy to the requesting Officer and sent to an Approved Contractor.

4. Referrals

Data sharing in accordance with the Data Protection Act (and will be updated in line with the General Data Protection Regulations GDPR) will be by consent and the Approved Contractor becomes a data controller when client details are passed to it. Any obligated supplier working on behalf of the Liverpool City Region Local Authorities would be expected to report quarterly on:

- I. Number of LADs unused and the reasoning for this;

- II. Costing of works, ECO Level of funding, any top-up funding and source of;
- III. Average timescales from receiving LAD to work completion;
- IV. Pre and post install SAP;
- V. CO₂ Savings;
- VI. Other mutually agreed criteria.

The LA reserve the right to randomly select properties that LADs have been issued to, to receive follow up; this is in order to audit the data received by the installer is correct and that the information contained within the original LAD was correct at the time of writing by an independent officer to deter, prevent and detect fraud.

In order to administer this scheme the Local Authorities named within this document will collate evidence in accordance with the scheme including the following where appropriate:

- a. Income data;
- b. SAP Band;
- c. Estimated annual fuel costs;
- d. Vulnerability; and
- e. Equalities monitoring data.

4.1 Referral Routes

Residents and organisations who believe they know a household who may qualify are directed to contact their own Local Authority provider to self-refer to this scheme. Information about who to contact for each Local Authority is given below.

I. Halton Borough Council:

Halton Direct Link and the Contact Centre will act as the central point of contact for referrals. The Council will accept referrals from individuals or organisations, such as Energy Projects Plus, acting on behalf of individuals. Halton Direct Link Offices are based in Widnes Town Centre and Halton Lea. The Contact Centre can be contacted on
Phone: 0303 333 4300

II. Knowsley Metropolitan Borough Council:

All referrals will be directed through Knowsleys Energy Officer
Phone: 0151 443 5817
Email: simon.rugen@knowsley.gov.uk

III. Liverpool Referrals:

Liverpool's Healthy Homes Home Improvement Agency will act as a central hub for a referrals route from fuel poverty/vulnerability/social care or health organisations, or any partnership with a selected obligated supplier across the City.
Freephone: 0800 012 1754

Email: www.healthyhomesprogramme@liverpool.gov.uk

IV. Sefton Metropolitan Borough Council:

All applications for LADs will be coordinated through the affordable warmth service at Sefton Council. The council will accept referrals from individuals or advocates, such as Energy Projects Plus, on behalf of individuals.

Phone: 0151 934 2222

V. St Helens Metropolitan Borough Council:

All applications for LADs will be coordinated through the affordable warmth service at St.Helens Council. The council will accept referrals from individuals or advocates on behalf of individuals.

Phone: 01744 676555

Email: homeimprovementagency@sthelens.gov.uk

VI. Wirral Metropolitan Borough Council:

TBC

Signature

Signed on Behalf of **Sefton Metropolitan Borough Council**

Stephan Van Arendsen,

..... Stephan Van Arendsen

Position: Head of Corporate Resources

Date: *8th February 2018*

ANNEX A

Halton

Public Health Division
1st Floor Runcorn Town Hall
Heath Road
WA7 5TN

Knowsley Council

Strategic Housing
1st Floor the Yorkon Building
Archway Road
Huyton
Knowsley
L36 9FB

Liverpool City Council

Healthy Homes HIA Team
Cunard Building
Water Street
Liverpool
L3 1DS

Sefton Council

Affordable Warmth Service
3rd floor, Magdalen House
30 Trinity Road
Bootle, Merseyside
L20 3NJ

St.Helens Council

People's Services - Private Housing Initiatives
Town Hall
Victoria Square
St.Helens
WA10 1HP

Wirral Council

Housing and Investment Team
PO Box 290
Brighton Street
Wallasey
CH27 9FQ